

**Bull Market Securities
and
Bull Market Investment
Management**

Form CRS Customer Relationship Summary
October 2021

<p>Introduction</p>	<p>Bull Market Securities, "BMS" or the "Firm" is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Bull Market Investment Management, LLC, "BMIM" or the "Advisor" is an (affiliate) investment adviser registered with the state of Florida. BMS and BMIM are often collectively referred to as "the Firms" and referenced as "We" throughout this Summary. The Firms related entities maintain both common ownership, as well as share certain office space and personnel that may be dually associated with referenced entities. While the aforementioned entities conduct in part shared operations they offer separate and distinct services. Security products are offered and conducted through BMS and advisory products and services are offered through BMIM. Brokerage and investment advisory services and fees differ and it is important for you to understand these differences.</p> <p>Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>	
<p>What investment services and advice can you provide me?</p>	<p>BMS offers brokerage services through Bull Market Securities and investment advisory services through BMIM to retail investors.</p>	
	<p>Brokerage Services (BMS)</p> <p>Our brokerage services include buying and selling equities, options, fixed income products, mutual funds and Exchange Traded Funds (ETFs).</p> <p>Our Firm can also provide guidance and limited direction for those clients who need assistance. We do not offer accounts with</p>	<p>Investment Advisory Services (BMIM)</p> <p>Our advisory services include asset management to individuals and corporations. Our investment advisory services are provided through various types of discretionary and non-discretionary accounts pursuant to an Investment Advisory Agreement ("IAA"), which is tailored to specific needs, goals, and objectives of each client. If we service you on a non-discretionary</p>

	<p>discretionary authority to our brokers. The Firm does offer recommendations of securities, but you the investor make the ultimate decision regarding the purchase or sale of investments.</p> <p>The Firm has a minimum of \$10,000 for new accounts.</p>	<p>basis, you make the ultimate decision regarding the purchase or sale of investments recommended by us. A discretionary account allows the Firm to purchase or sell securities within your account without your consent for each trade.</p> <p>The Firm has a minimum of \$50,000 for new advisory accounts.</p>
--	--	--

Conversation Starters.

Ask your financial professional—

- **Given my financial situation, should I choose an investment advisory service? Should I choose a brokerage service? Should I choose both types of services? Why or why not?**
- **How will you choose investments to recommend to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

For additional information, please see the Firm’s website (bullmarketus.com), Form ADV, Part 2A brochure - Items 4, 5 and 7.

What fees will I pay?	Brokerage Services (BMS)	Investment Advisory Services (BMIM)
	<p>Clients will pay commissions on Equity, Mutual Fund, ETF, ADR and Option Trades. For Fixed Income trades, clients will pay a mark-up or mark-down in addition to the executed price. If holding Mutual Funds, trailing fees will apply. For Mutual Fund Exchanges or Redemptions a \$20 fee will be applied.</p> <p>For all trade tickets a Handling Fee of \$2.00 will</p>	<p>Clients will pay commissions on Equity, Mutual Fund, ETF, ADR and Option Trades. For Fixed Income trades, clients will pay a mark-up or mark-down in addition to the executed price.</p> <p>If holding Mutual Funds, trailing fees will apply. For Mutual Fund Exchanges or Redemptions a \$20 fee will be applied.</p> <p>For all trade tickets a Handling Fee of \$2.00 will be applied.</p> <p>Clients will also pay a management fee calculated as a percentage of the assets under management of</p>

	<p>be applied.</p> <p>Accounts with 6 (six) month of no trading activity will be charged a monthly account maintenance fee of \$10.</p>	<p>the account.</p> <p>Wrap Fee programs are not offered.</p>
--	---	---

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

For additional information, please see the “Fees” tab on the Firm’s website (bullmarketus.com), the Firm’s Transaction Pricing Schedule and the Firm’s Form ADV, Part 2A Brochure – Item 5.

Conversation Starter.

Ask your financial professional—

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

<p>What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser?</p> <p>How else does your firm make money and what conflicts of interest do you have?</p>	<p>When we provide you with a recommendation as your broker-dealer or act as your investment adviser:</p> <p>We have to act in your best interest and not put our interest ahead of yours.</p> <p>At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide you.</p> <p>Here are some examples to help you understand what this means.</p> <p>Examples of Ways We Make Money and Conflicts of Interest.</p> <ul style="list-style-type: none"> • Revenue sharing • Dually registered individuals between BMS and BMIM
--	--

Conversation Starter.

Ask your financial professional—

- **How might your conflicts of interest affect me, and how will you address them?**

For additional information, please see the Firm’s website (bullmarketus.com), Form ADV and Part 2A brochure.

How do your financial professionals make money?

Financial Professionals are compensated on a salary basis plus revenue sharing of the commissions, mark-ups, mark-downs, trailer fees and management fees charged to the clients.

Do you or your financial professionals have legal or disciplinary history?

No.
 Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.
Conversation Starter.
 Ask your financial professional—

- **As a financial professional, do you have any disciplinary history?**
If YES, for what type of conduct?

Additional Information

For additional information about our services, please call **561-757-2322** or contact us in writing at 175 SW 7th St, Ste 1616, Miami, FL 33130. Or please visit the following websites:
Bullmarketus.com
Investor.gov/CRS.
<https://brokercheck.finra.org>

Conversation Starter.

Ask your financial professional—

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?